## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. SP02-217 Total Pages 2

First Named Inventor or Application Identifier: BOOKBINDER, DANA C.

Title: METHOD AND APPARATUS REDUCING METAL IMPURITIES IN OPTICAL FIBER SOOT PREFORMS

| TO A LOWITTAL   | Title : METHOD AND APPARATUS REDUCING METAL IMPURITIES IN OPTICAL FIBER SOOT PREFORMS  |
|---|--|
| TRANSMITTAL   | Express Mail Label No. EV 327190519 US   |
| (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))  |  |
| CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10: I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 | Mail Stop Patent Application Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450 Alexandria, VA 22313-1450 Alexandria, VA 22313-1450 |
| on 10/31/03 (Date) Signature 10/31/03 "EXPRESS MAIL" Mailing Label No. EV 327190519 US  | 22154  |
| * Fee Transmittal Form     (Submit an original and a duplicate for fee processing)  | Microfiche Computer Program (Appendix)   |
| Specification [Total Pages [     (preferred arrangement set forth below)  | 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)   |
| Descriptive title of the Invention     Cross References to Related Applications   | a. Computer Readable Copy  |
| <ul> <li>Statement Regarding Fed sponsored R&amp;D</li> <li>Reference to Microfiche Appendix</li> </ul>   | b. Paper Copy (identical to computer copy)   |
| <ul><li>Background of the Invention</li><li>Brief Summary of the Invention</li></ul>  | c. Statement verifying identity of above copies  |
| - Brief Description of the Drawings (if filed)  | ACCOMPANYING APPLICATION PARTS   |
| - Detailed Description  | 7. Assignment Papers (cover sheet & document(s))   |
| <ul><li>Claim(s)</li><li>Abstract of the Disclosure</li></ul>   | 8. 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee)   |
| 3. Drawing(s) (35 U.S.C. § 113) [Total Sheets   | 9. English Translation Document (if applicable)  |
| 4. Oath or Declaration [Total Pages   | 2 Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  |
| a. Executed (original or copy)  | 11. Preliminary Amendment  |
| b. Copy from a prior application (37 C.F.R. § 1.63(d))  (for continuation/divisional with Box 16 completed)   | 12. Return Receipt Postcard (MPEP 503) (should be specifically itemized)   |
| i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).   |  |
| application, see 37 o.i. i.e. 33 1.00(0)(2) and 1.00(0).  | (if foreign priority is claimed)   |
| 16. If a CONTINUING APPLICATION, check appropriate box, and supply t  |  |
| ☐ Continuation ☐ Divisional. ☐ Continuation-in-part (CIP)   |  |
| Prior application information: Examiner:  | Group / Art Unit: se prior application, from which an oath or declaration is supplied under Box 4b,  |
| is considered as being part of the disclosure of the accompanying continue  |  |
| reference. The incorporation can only be relied upon when a portion has b   |  |
| 17. CORRE   | SPONDENCE ADDRESS  |
| Customer Number or Bar Code Label 22928   | or Correspondence address below  |
| NAME  |  |
| ADDRESS Corning Incorporated, SP-TI-3-1   |  |
| CITY Corning STATE  | NY   ZIP CODE   14831  |
| COUNTRY USA JELEPHONE   | / 607-974-0463   |
| Name (Print/Type) Randall S. Wayland  | Registration No. (Attorney/Agent) 36,303   |
| Signature   | Date 10/31/03  |

## FEE TRANSMITTAL for FY 2003

Complete if Known

Application Number To Be Assigned
Filing Date Herewith
First Named Inventor BOOKBINDER, DANA C., et al.
Examiner Name To Be Assigned
Group / Art Unit To Be Assigned

| TOTAL AMOUNT OF PAYMENT (\$)932.00  | Attorney Docket Number SP02-217   | $\dashv$ |
|---|---|----------|
|   | FEE CALCULATION (continued)   |          |
| METHOD OF PAYMENT (check one)  1. ☑ The Commissioner is hereby authorized to charge | 3. ADDITIONAL FEES  | _        |
| indicated fees and credit any overpayments to:                                      | Large Entitly   |          |
| Deposit   | Fee Fee Fee Description Fee Pai   | d        |
| Account Number  | Code (\$)   |          |
|   | 1051 130 Surcharge - late filing fee or oath  |          |
| Deposit Account Name Corning Incorporated   | 1052 50 Surcharge - late provisional filing fee or cover sheet  |          |
| Charge Any Additional Fees Required   | 1053 130 Non-English specification  |          |
| Under 37 C.F.R. §§ 1.16 and 1.17  | 1812 2,520 For filing a request for reexamination   |          |
| 2. Payment Enclosed:  Check Money Order Other  TELE CALCULATION                     | 1804 920* Requesting publication of SIR prior to Examiner action  |          |
| 1. BASIC FILING FEE   | 1805 1,840 Requesting publication of SIR after Examiner action  |          |
| Large Entitly Fee Fee Fee Description Fee Paid                                      | 1251 110 Extension for reply within first month   |          |
| Code (\$)   | 1252 420 Extension for reply within second month  |          |
|   | 1253 950 Extension for reply within third month   |          |
| 1001 770 Utility filing fee <u>770.00</u>   | 1254 1,480 Extension for reply within fourth month  |          |
| 1002 340 Design filing fee  | 1255 2,010 Extension for reply within fifth month   |          |
| 1003 530 Plant filing fee   | 1401 330 Notice of Appeal   |          |
| 1004 770 Reissue filing fee   | 1402 330 Filing a brief in support of an appeal   |          |
| 1005 160 Provisional filing fee   | 1403 290 Request for oral hearing   |          |
| SUBTOTAL (1) (\$)770.00   | 1451 1,510 Petition to institute a public use proceeding  |          |
| 2. EXTRA CLAIM FEES  Extra Fee from   | 1452 110 Petition to revive - unavoidable   |          |
| Claims below Fee Paid   | 1453 1,330 Petition to revive - unintentional   |          |
| Total Claims 29 - 20** = x 18 = 162.00  | 1501 1,330 Utility issue fee (or reissue)   |          |
| Independent 3 - 3** = x 86 = 00.00 Claims   | 1502 480 Design issue fee   |          |
| Multiple Dependent 0 = 0.00   | 1503 640 Plant issue fee  |          |
| **or number previously paid, if greater; For Reissues, see below                    | 1460 130 Petitions to the Commissioner  |          |
| Large Entity  | 1807 50 Petitions related to provisional applications   |          |
| Fee Fee Fee Description   | 1806 180 Submission of Information Disclosure Stmt  |          |
| Code (\$)<br>1202 18 Claims in excess of 20   | 8021 40 Recording each patent assignment per property (times number of properties)                                  |          |
| 1201 86 Independent claims in excess of 3   | 1809 770 Filing a submission after final rejection  |          |
| 1203 290 Multiple dependent claim, if not paid0                                     | (37 C.F.R. § 1.129(a))  |          |
| 1204 86 ** Reissue independent claims over<br>original patent                       | 1810 770 For each additional invention to be examined (37 C.F.R § 1.129(b))   |          |
| 1205 18 ** Reissue claims in excess of 20 and over original patent                  | 1801 770 Request for Continued Examination (RCE) 1802 900 Request for expedited examination of a design application |          |
| SUBTOTAL (2) (\$)162.00   | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)   |          |
| SUBMITTED BY  | Completed (if applicable)   | $\neg$   |
| Name (Print/Type) Randall S. Wayland  | Registration No. (Attorney/Agent) 36,303  |          |
| Signature (Jaseph Way)  | Date 10/31/63   |          |

11/05/2003 KBETEHA1 00000011 033325 10698494 Sale Ref: 00000018 DAM: 033325 10698494 01 FC:1001 770.00 DA 02 FC: 1202 162.00 DA

Revised: January 2003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMBINED

Applicant(s): Bookbinder, et al CERTIFICATE UNDER

37 C.F.R. § 3.73(b)

Serial No.:

and

Filed: For: POWER OF ATTORNEY

METHOD AND APPARATUS

REDUCING METAL IMPURITIES IN OPTICAL FIBER SOOT PREFORMS

Assistant Commissioner for Patents Washington, DC 20231

## **CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.